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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 501.40207VX1	
		First Inventor N. Hasegawa, et al.	
		Title MANUFACTURING METHOD OF PHOTOMASK AND PHOTOMASK	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No.	

APPLICATION ELEMENTS SEE MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages: 139] (preferred arrangement set forth below)	a. <input type="checkbox"/> Computer Readable Form (CRF)
-Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure	b. Specification Sequence Listing on:
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets: 73]	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
5. Oath or Declaration [Total Sheets: 4]	ii. <input type="checkbox"/> paper
a. <input type="checkbox"/> Newly executed (original or copy)	c. <input type="checkbox"/> Statements verifying identity of above copies
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

ACCOMPANYING APPLICATION PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)
11. <input type="checkbox"/> English Translation Document (if applicable)	12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449
13. <input checked="" type="checkbox"/> Preliminary Amendment	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input checked="" type="checkbox"/> Other: Credit Card Payment Form; Letter and Claim For Priority	

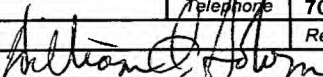
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/881,701**

Prior application information: Examiner: **Sagar** Art Unit: **1756**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number 020457	OR <input type="checkbox"/> Correspondence address below
Name ANTONELLI, TERRY, STOUT & KRAUS	
Address 1300 NORTH SEVENTEENTH STREET	
Suite SUITE 1800	
City ARLINGTON	State VA
Country United States	Zip Code 22209
Telephone 703/312-6600	Fax 703/312-6666
Name (Print/Type) William I. Solomon	Registration No. (Attorney/Agent) 28,565
Signature 	Date October 17, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

 17858 U.S. PTO
 10/686723




15915

101703

U.S. PTO

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$770

Complete if Known

Application Number	TBD
Filing Date	October 17, 2003
First Named Inventor	N. Hasegawa, et al.
Expected Examiner Name	K. Sagar
Expected Art Unit	1756
Attorney Docket No.	501.40207VX1

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number 01-2135

Deposit Account Name ANTONELLI, TERRY, STOUT & KRAUS

The Commissioner authorized to: (check all that apply)

☐ Charge fees indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims 1	-20** = 0	x 18.00	= 0.00
Indep. Claims 1	-3** = 0	x 86.00	= 0.00
Multiple Dependent		290.00	= 0.00

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	43	Independent claims in excess of 3
1203	280	2203	145	Multiple dependent claim, if not paid
1204	84	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 770.00

**or number previously paid, if greater; For Reissues, see above.

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	0.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	0.00
1053	130	1053	130	Non-English specification	0.00
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	0.00
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action	0.00
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	0.00
1251	110	2251	55	Extension for reply within first month	0.00
1252	410	2252	205	Extension for reply within second month	0.00
1253	930	2253	465	Extension for reply within third month	0.00
1254	1,450	2254	725	Extension for reply within fourth month	0.00
1255	1,970	2255	985	Extension for reply within fifth month	0.00
1401	320	2401	160	Notice of Appeal	0.00
1402	320	2402	160	Filing a brief in support of an appeal	0.00
1403	280	2403	140	Request for oral hearing	0.00
1451	1,510	1451	1,510	Petition to institute a public use proceeding	0.00
1452	110	2452	55	Petition to revive - unavoidable	0.00
1453	1,300	2453	650	Petition to revive - unintentional	0.00
1501	1,300	2501	650	Utility issue fee (or reissue)	0.00
1502	470	2502	235	Design issue fee	0.00
1503	630	2503	315	Plant issue fee	0.00
1406	130	1460	130	Petitions to the Commissioner	0.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	0.00
1806	180	1806	180	Submission of Information Disclosure Stmt	0.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	0.00
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
1801	750	2801	375	Request for Continued Examination (RCE)	0.00
1802	900	1802	900	Request for expedited examination of a design application	0.00

Other fee (specify) _____ 0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 770.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	William I. Solomon	Registration No. (Attorney/Agent)	28,565	Telephone	703.312-6600
Signature				Date	October 17, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): N. HASEGAWA, et al.
Application No.: TBD
Filed: October 17, 2003
For: MANUFACTURING METHOD OF PHOTOMASK AND
PHOTOMASK
Expected
Group: 1756
Expected
Examiner: K. Sagar

LETTER

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

October 17, 2003

Sir:

The undersigned notes that the above-identified application is presently being filed with a single claim, which is claim 23 of prior Application No. 09/881,701, in order to submit a proper non-provisional application. Applicants will be filing a Supplemental Preliminary Amendment in the above-identified application, for submitting claims to be examined in the above-identified application. If this Supplemental Preliminary Amendment is not in the application file at the time that the Examiner takes up the above-identified application for consideration, the Examiner is respectfully requested to contact the undersigned to determine status of such Supplemental Preliminary Amendment. The Examiner is thanked in advance for complying with this request.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 501.40207VX1), and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in black ink, appearing to read "William I. Solomon", with a long horizontal flourish extending to the right.

William I. Solomon
Registration No. 28,565

WIS/sjg